

Taller 5: Programa Omicas 2021

Fundamentals of Nanoscale Science and Engineering

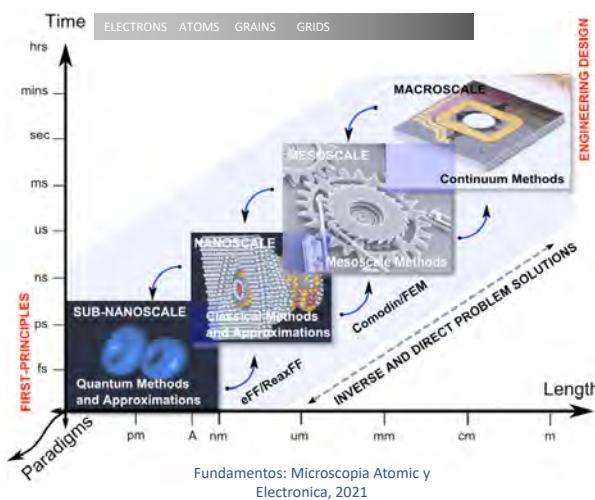
Andrés Jaramillo-Botero

PUJC: Prof. Titular; Director Científico, Programa Omicas; Director, Doctorado en Ingeniería y Ciencias Aplicadas (FIC)

Caltech: Director, Multiscale Science (MSC), division de Química e Ingeniería Química

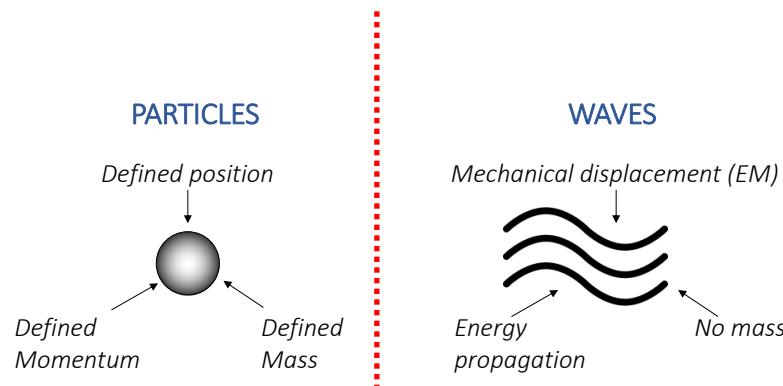
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Everything is made of atoms, i.e. all properties depend on atomic composition and structure



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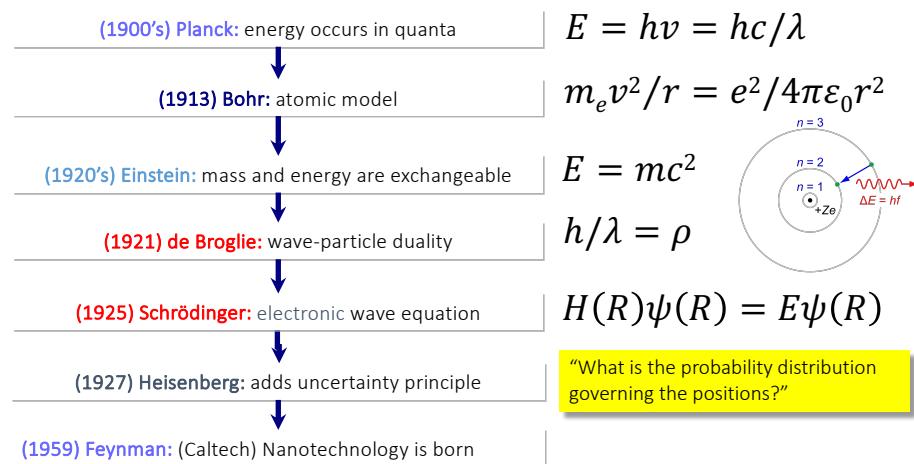
Diverging physical ideas: <1900<



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Historical precedents: summary



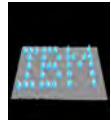
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Nanotechnology Historical Milestones



"It would be, in principle, possible (I think) for a physicist to synthesize any chemical substance that the chemist writes down. . . . Put the atoms down where the chemist says, and so you make the substance." - Richard Feynman, *There's Plenty of Room at the Bottom* (Caltech, APS talk, 1959).



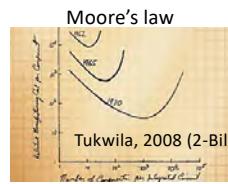
Don Eigler and Erhard Schweizer spelled "IBM" with 35 xenon atoms, 1989. This logo could fit 350 million times in the area at the point of a pin.



"We cannot afford certain types of accidents" - Eric Drexler, *Engines of creation*, 1986, the book where the term "grey goo" was coined.



"My budget supports a major new National Nanotechnology Initiative, worth \$500 million. More than 40 years ago, Caltech's own Richard Feynman asked, 'What would happen if we could arrange the atoms one by one the way we want them?'" - President Clinton, Caltech (2000).



Gordon Moore, Intel
Founder (Caltech,
PhD. 1954)



Precise and intentional manipulation of matter at the atomic scale

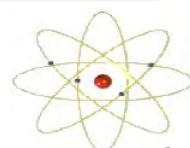
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Erwin Schrödinger
(1887-1961)

$$H(R)\psi(R) = E\psi(R)$$



1925

$$\hat{H} = \hat{K} + V$$

$$\left[-\frac{\hbar}{2m} \nabla^2 + V(R) \right] \psi(R) = E\psi(R)$$

$$-\frac{\hbar}{2m} \nabla^2 \psi(R, t) + V(R)\psi(R, t) = i\hbar \frac{\partial \psi(R)}{\partial t}$$

n: principal (integer): orbit size
l: azimuthal (angular momentum): orbit form
m: magnetic: orbit orientation
m_s: spin

Schrödinger's Equation: Conceptual Understanding

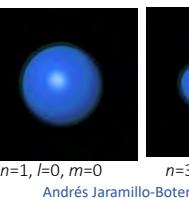
- Bohr's atomic model -> one-dimensional, i.e. one quantum number to describe the distribution of electrons - size of the orbit (*n*).

- Schrödinger's model allowed the electron to occupy three-dimensional space (*n,l,m*).

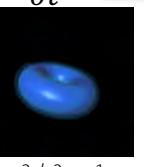
Probability wave function

$$\nabla^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

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n=1, *l*=0, *m*=0



n=3, *l*=2, *m*=1



n=3, *l*=2, *m*=2



n=4, *l*=2, *m*=2

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Ideally

Atoms are made up of interacting nuclei and electrons, with a

- Total classical Energy: $E = K_e + K_n + V_{ee}(r) + V_{en}(r, R) + V_{nn}(R)$
- Total Hamiltonian: $\hat{H} = \hat{K}_e + \hat{K}_n + V_{ee}(r) + V_{en}(r, R) + V_{nn}(R)$

$$\hat{H} = \underbrace{-\frac{\hbar^2}{2m} \sum_i \nabla_i^2 - \frac{\hbar^2}{2M_n} \sum_n \nabla_n^2}_{\text{Kinetic Energy}} + \underbrace{\frac{1}{2} \sum_{ij} \frac{e^2}{|r_i - r_j|}}_{\substack{\text{No analytical solution} \\ \text{Large (cannot neglect)}}} - \sum_{ni} \frac{Z_n e^2}{|R_n - r_i|} + \frac{1}{2} \sum_{nm} \frac{Z_n Z_m e^2}{|R_n - R_m|}$$

- where indexes n, m run on nuclei, i and j on electrons, R_n and r_i are positions of the nuclei and of the electrons, Z_n the atomic number of nucleus n , M_n its mass and m the electron mass.

In principle, one should solve a Schrödinger equation for the total wavefunction $\psi(R_n, r_i)$ and then everything about the system should be known.

$$H(R, r)\psi(R, r) = E\psi(R, r)$$

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Andrés Jaramillo-Botero (2018)

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Approximations to Schrödinger's Equation

1. Born-Oppenheimer Approximation (1923)

- aka **Adiabatic Approximation**
- **Concept:**
 - Nuclei are much heavier than electrons (H), $\frac{M_{nuc}}{m_{el}} \approx 1,840$
 - Nuclei move in a much slower time scale than electrons, $\frac{\omega_{el}}{\omega_{nuc}} \gg 1$
- **Classical interpretation:**
 - Nuclei interacting with electrons with spring-like forces leads to higher frequency oscillations of the light e's
- **Quantum interpretation:**
 - For the time-dependent Schrödinger's equation we fix the nuclear geometry (at a particular time) and solve the e part of the total wavefunction

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Andrés Jaramillo-Botero (2018)

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Further Approximations to Schrödinger's Equation that Lead to MM/MD

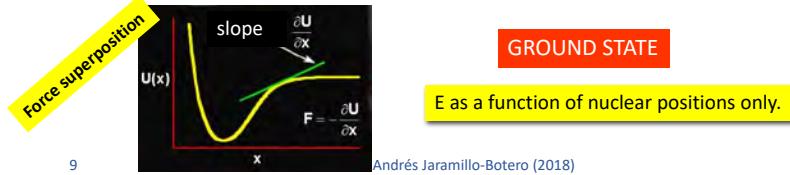
2. Nuclei moving as classical particles

- Since there are no explicit electronic contributions in the nuclear Schrödinger equation, it can be replaced by a Newtonian EOM
 - Introduces the idea of a **Potential Energy Surface (PES)**

$$[K_n(R) + V_m(R) + E_{eig}^{el}(R)]\psi^n(R) = E\psi^n(R) \rightarrow M \frac{\partial^2 R}{\partial t^2} = F \quad F = -\frac{\partial}{\partial R} [V_{nn}(R) + E_{eig}^{el}(R)]$$

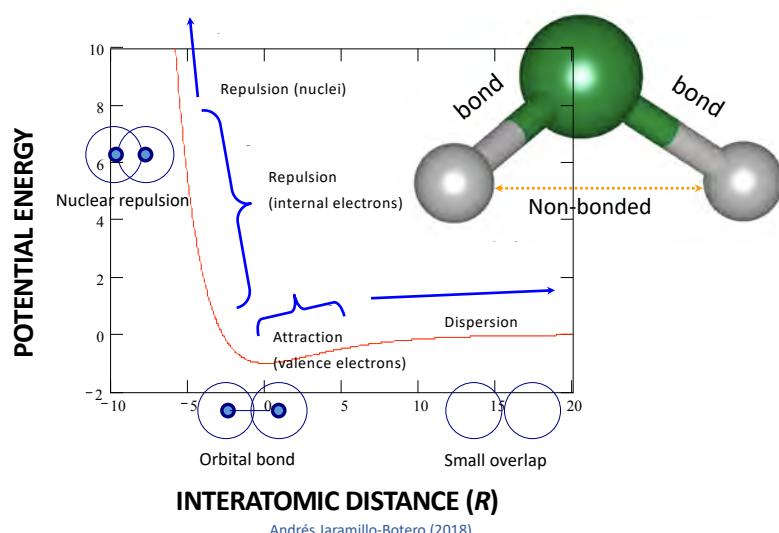
3. Approximate PES with analytical potentials

- Avoid solving a Schrödinger equation altogether, and
- ATOMS as classical particles (w/implicit electrons) moving on analytical PES



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MM/MD (Classical): PES vs. Distance



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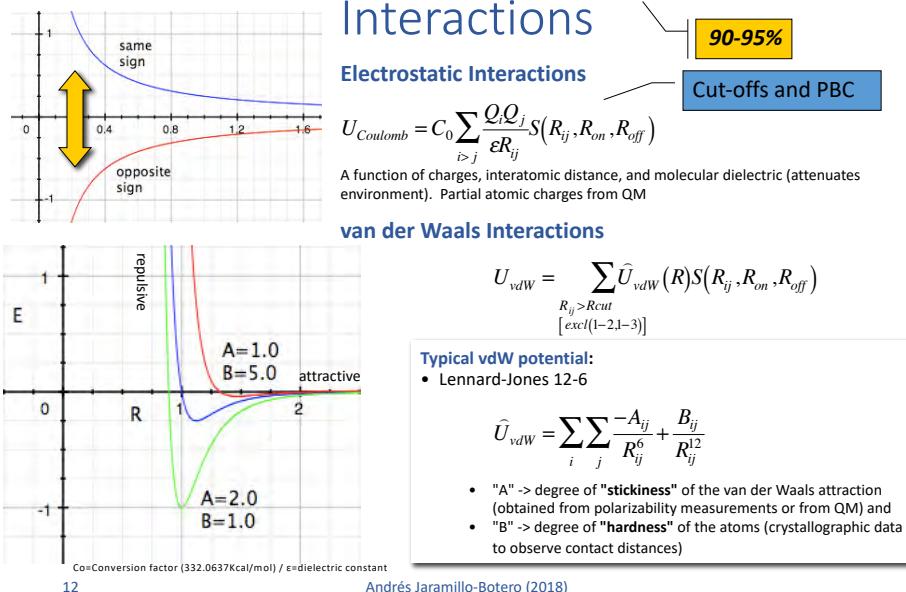
Bonded (valence) Interactions

Description	Illustration	Typical Expressions	
		Energy (Potential)	Forces
"hard" DOF	Bond stretch	$U_r = \frac{1}{2} k_r (R - R_0)^2$	$-\frac{\partial U_r}{\partial R} = -k_r (R - R_0)$
	Bond Angle	$U_\theta = \frac{1}{2} k_\theta (\cos \theta - \cos \theta_0)^2$	$-\frac{\partial U_\theta}{\partial \theta} = k_\theta (\cos \theta - \cos \theta_0) \sin \theta$
Torsion		$U_\phi = k_\phi [\cos(n\phi - d) + 1]$	$-\frac{\partial U_\phi}{\partial \phi} = k_\phi dn \sin(n\phi)$
	Inversion or Improper Torsion	$U_\psi = \frac{1}{2} k_\psi (\cos \psi - \cos \psi_0)^2$	$-\frac{\partial U_\psi}{\partial \psi} = k_\psi (\cos \psi - \cos \psi_0) \sin \psi$
Account (along with non-bond) for variations in structure and relative E			
Others: Cross-terms			

Subindex 0 indicates rest value / Kx: Force constant (kcal/mol Å²) / Kt₀: Rotational barrier (kcal/mol) / n: periodicity / d: phase factor

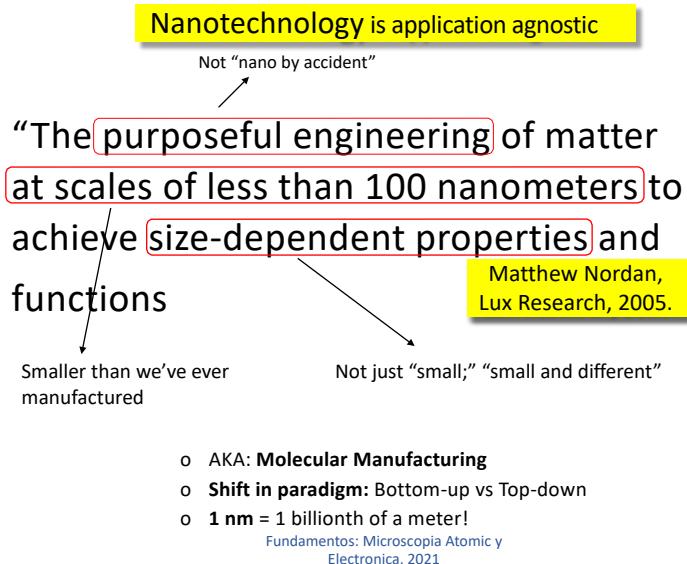
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Non-bond distance-dependent Interactions



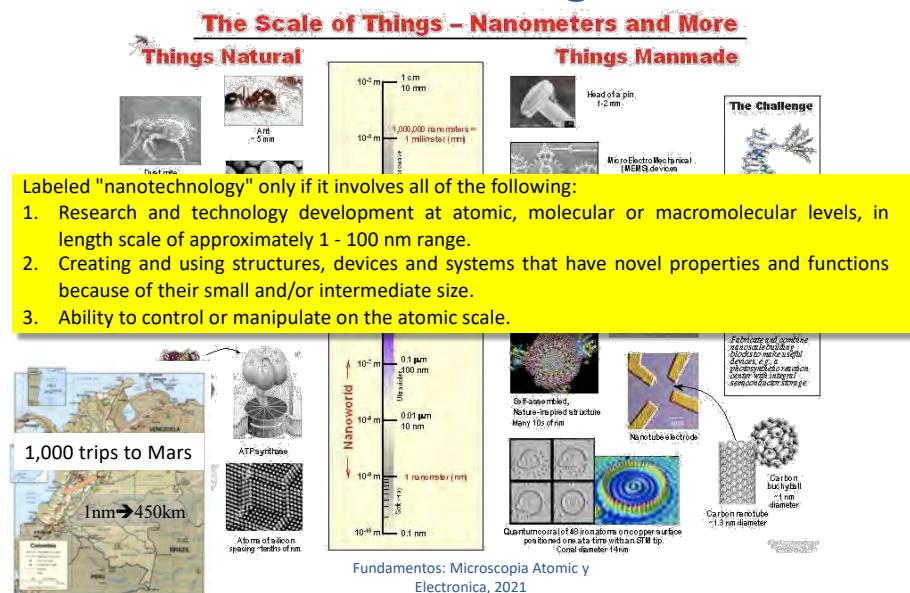
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Nanotechnology Definition

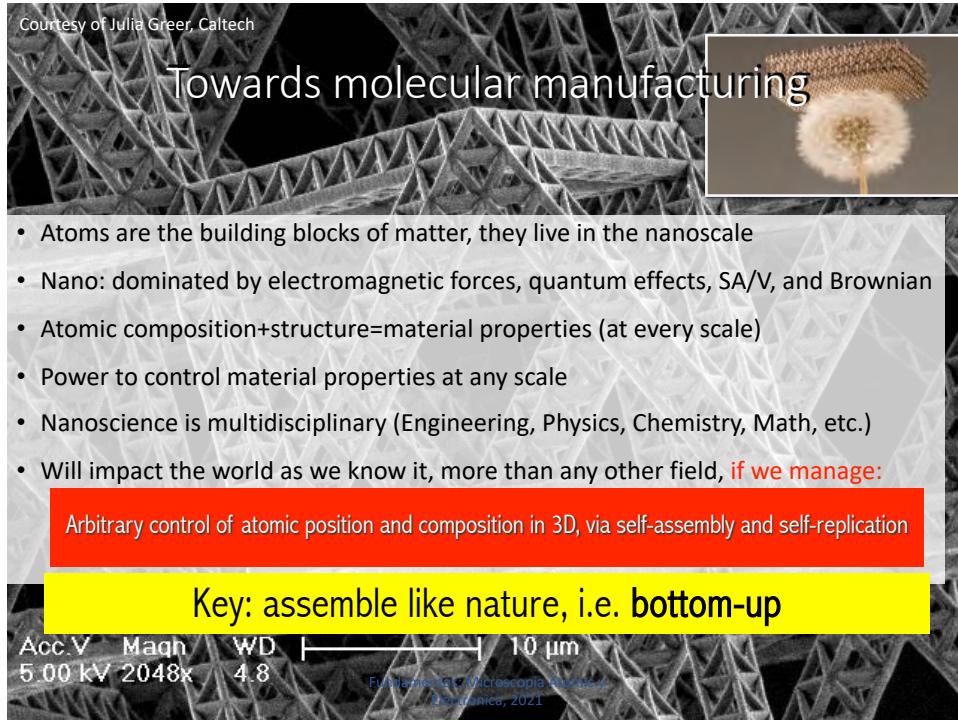


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www.nano.gov



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What makes the nanoscale special?

Jaramillo-Botero et al, Nanomedicine: A Systems Engineering Approach, Pan Stanford Publishing (World Scientific), Nov. 2008.

- 1. Interfaces**
- 2. Quantum effects**
- 3. Thermal fluctuations**
- 4. Discreteness of matter**

These:

- Can lead to differences between nanosystems and bulk
- Can be exploited to generate whole new devices and phenomena
- Can pose challenges that require novel solutions

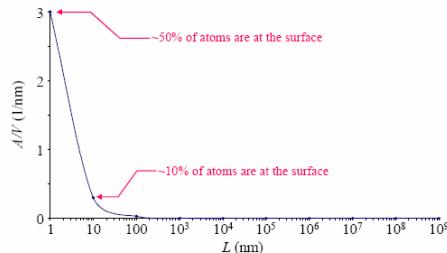
Many material properties, including melting point, fluorescence, electrical conductivity, magnetic permeability, and chemical reactivity change as a function of particle size

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What makes the nanoscale special: interfaces

Consider surface area to volume ratio as a function of size entity



Challenges and benefits of nanoscale come from interfaces!

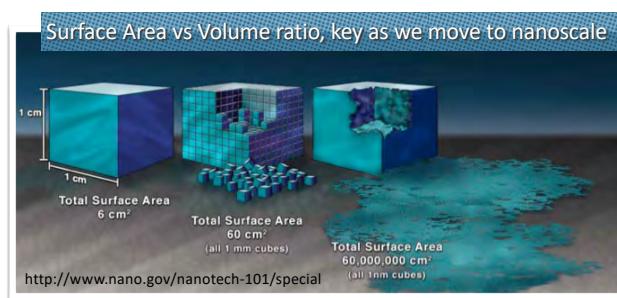
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What makes the nanoscale special: Surface area (SA) to volume (V)

Jaramillo-Botero et al, Nanomedicine: A Systems Engineering Approach, Pan Stanford Publishing (World Scientific), Nov. 2008.

- A larger SA/V ratio as a function of entity size
 - Assume cube with side l , $V=l^3$ and $A=6l^2$ then $A/V=6/l$ increases for small l
 - In cells: surface must allow sufficient exchange to support contents, hence ratio limits size (e.g. Eukaryotic cell $\sim 5\text{--}100\mu\text{m}$)
 - Higher ratio leads to more surface available for reactions (e.g. in enzymes)

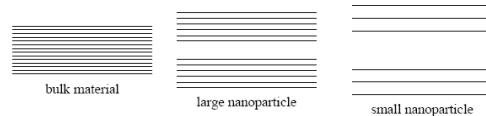


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What makes the nanoscale special: Quantum Effects

- Consider the energy levels of a metal as its size decreases



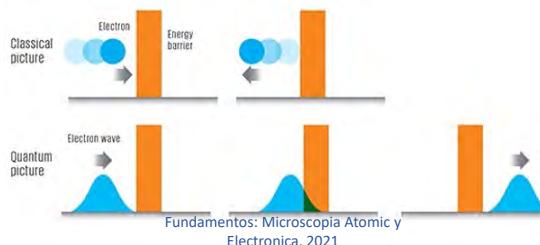
- Heisenberg's Uncertainty Principle: e.g. the more an electron is confined, the greater its momentum range, and vice versa
- Quantum effects can yield a range of exploitable phenomena, e.g.:
 - Nanoscale gold particles selectively accumulate in tumors and the motion of their electrons is confined, which changes its optical response properties, so they can enable both precise imaging and targeted destruction of tumor
 - "Tunability of properties" implies that particle size can be used to fine-tune a material property of interest (e.g., changing fluorescence color in QD)
 - "Electron tunneling" have enabled STMs and flash memories

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What makes the nanoscale special: Quantized energy states at atomic level

- Discrete quantum levels of a nanocluster may be tuned to modulate the electron transport, normally modulated by the pH, ions, and redox centers.
- Important Quantum effects include "electron tunneling" for STM, quantum Hall effect for resistance calibration instruments, spin polarization in MRI.
- Radiation induced processes such as photoisomerization in vision and photosynthesis in plants depend on quantum yield, which depends in turn on molecular structure.
- Novel optical and magnetic properties for nanometer scale devices potentially, useful for medical diagnosis or intervention.

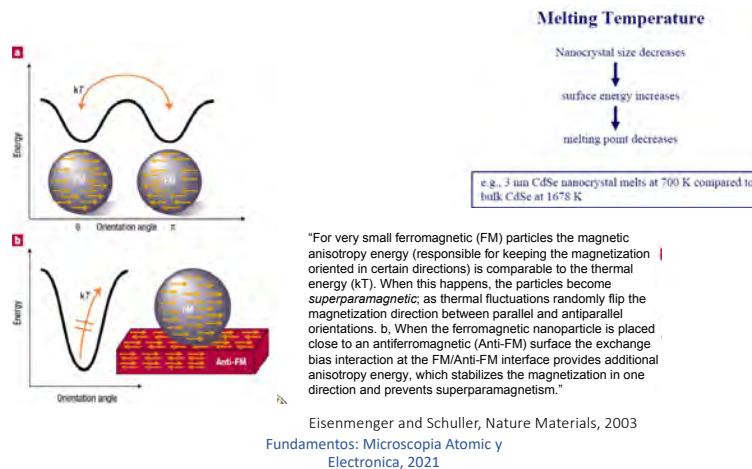


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What makes the nanoscale special: Thermal fluctuations

Thermal fluctuations can be commensurate with size of nano-system

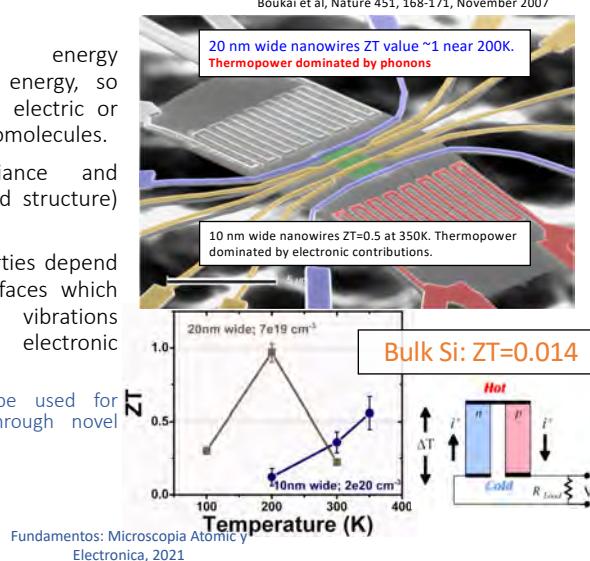


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What makes the nanoscale special: Thermal fluctuations

Boukai et al, Nature 451, 168-171, November 2007

- Magnetic anisotropy energy comparable to thermal energy, so thermal noise may alter electric or magnetic dipoles of macromolecules.
- Affect positional variance and conformations (shape and structure) of molecular structures.
- Thermal transport properties depend on the number of interfaces which can dampen phonon vibrations without altering electronic conduction.
 - Such effects could be used for thermal regulation through novel thermoelectric devices.

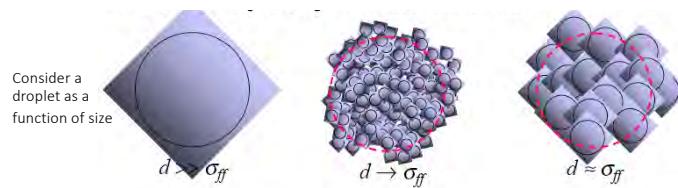


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What makes the nanoscale special: surface Discreteness

Once the size of an entity approaches that of its building blocks:

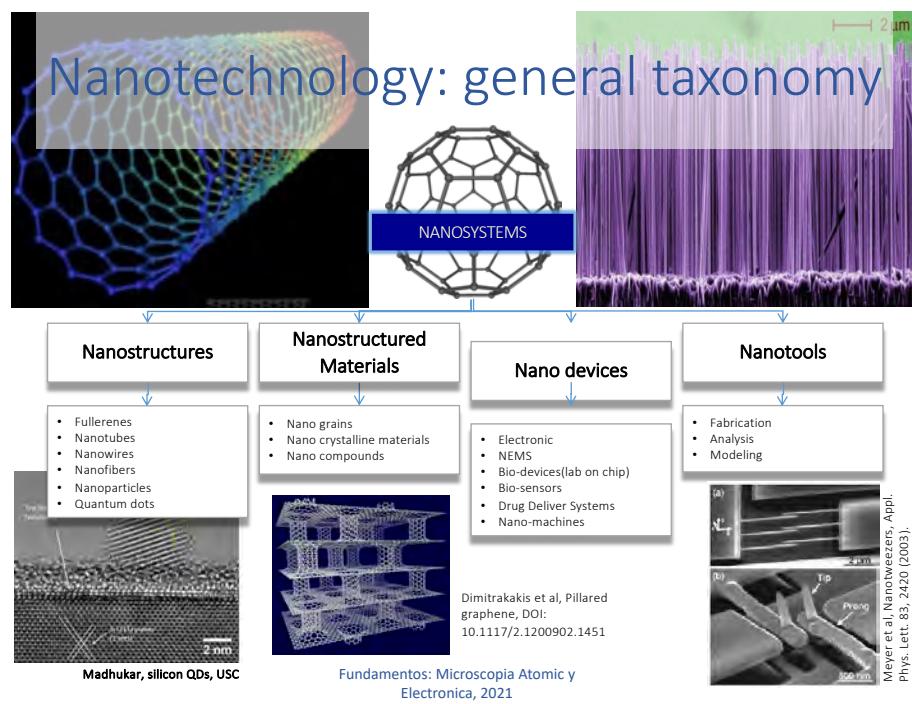
- Surfaces can no longer be assumed ‘smooth’ – they are ill-defined
 - long alkanes used as lubricants in macrosystems, but act as dirt in nm scale
 - vdW forces in conventional gears taken to the nano hinder normal operation



- Thermal fluctuations affect shape and structure
- Obscures bulk concepts as surface tension, dielectric constant, pH

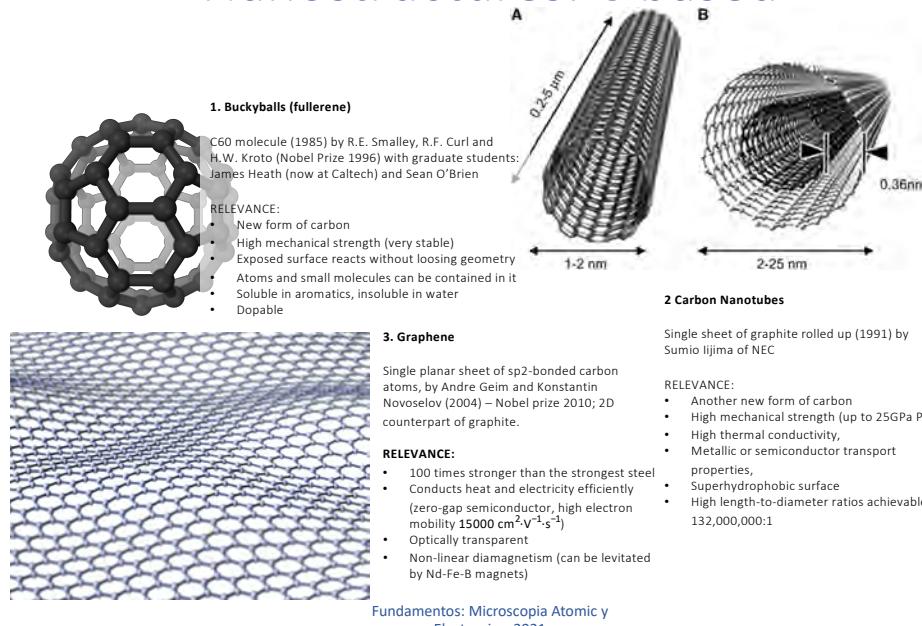
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Nanostructures: C based

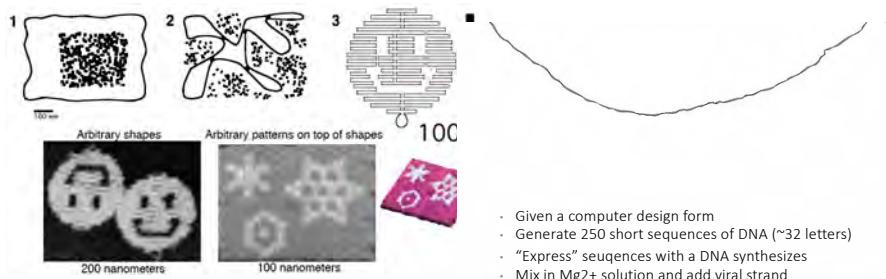


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Nanostructures: DNA scaffolds via DNA-Origami < 30nm

- Features <100 nm; 200 pixel patterns; 6 nm resolution,
- 100 billions per drop, 90% yield
- Lots of different DNA strands (expensive)

Any form with 250 base sequences and a virus (genome)



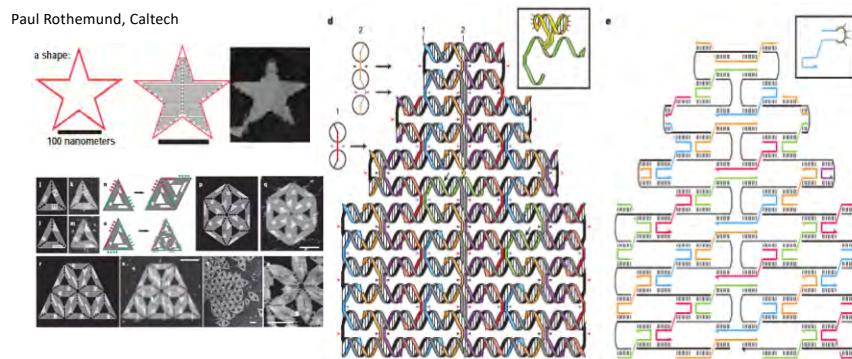
Nano Lett., 12 (3): 1129-1135 DOI: 10.1021/nl201818u (2012)

Paul Rothemund, Caltech

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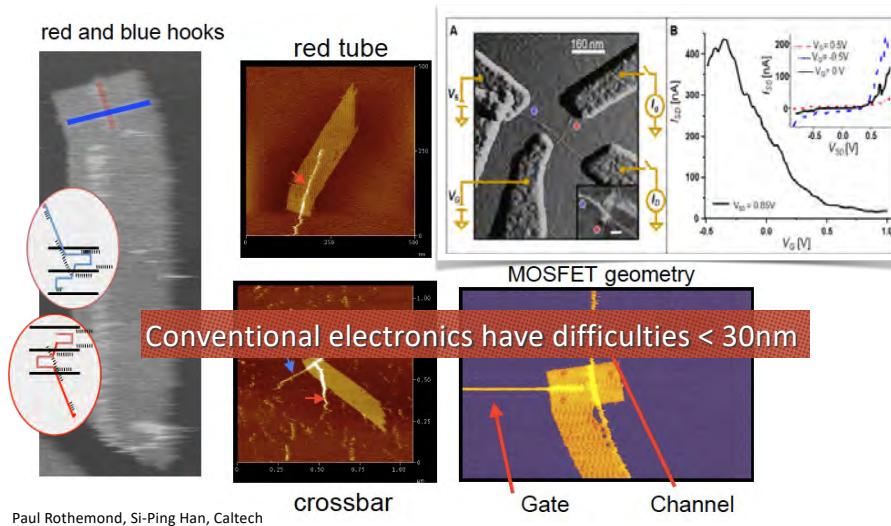
Nanostructures: via DNA-Origami



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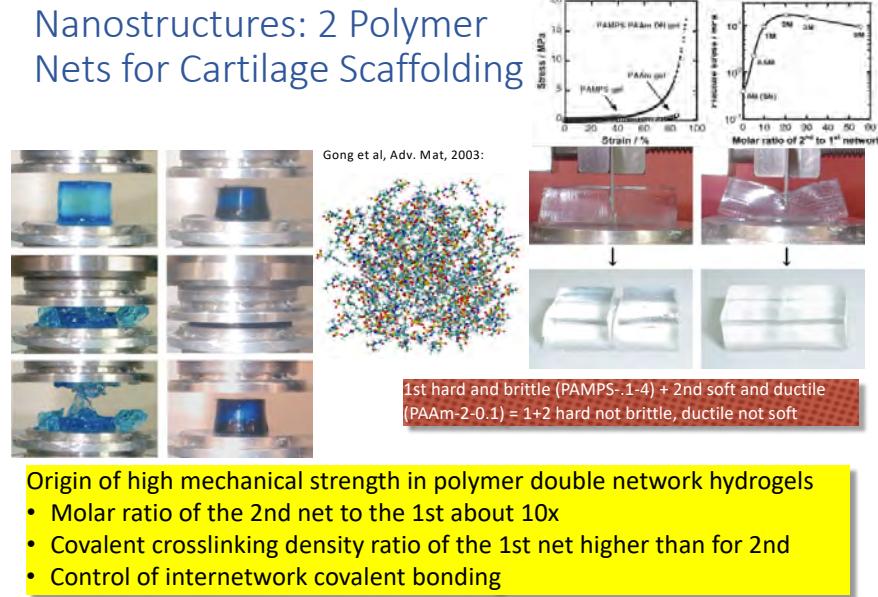
Nanostructures: Self-assembly of FETs with DNA-Origami



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Nanostructures: 2 Polymer Nets for Cartilage Scaffolding



Origin of high mechanical strength in polymer double network hydrogels

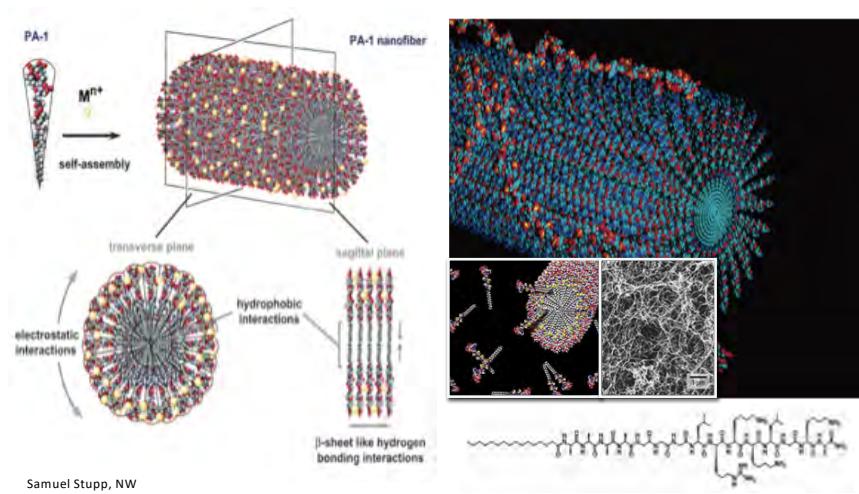
- Molar ratio of the 2nd net to the 1st about 10x
- Covalent crosslinking density ratio of the 1st net higher than for 2nd
- Control of internetwork covalent bonding

Jaramillo-Botero et al, J. of Computational and Theoretical Nanoscience., 7, 1238-1256 (2010)

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“Intelligent” Amphiphilic Nano-Peptides for ECM



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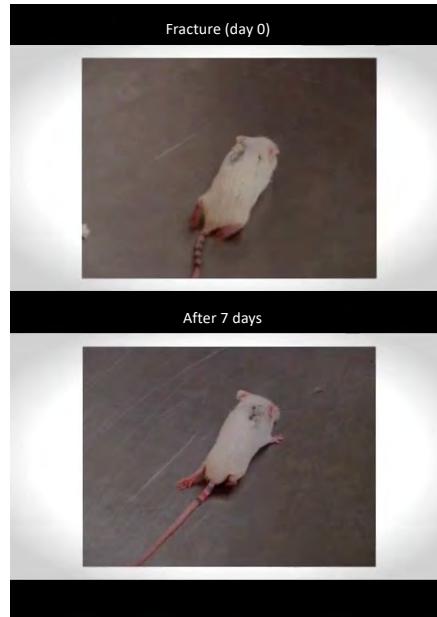
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Fracture and recovery from spinal cord injury using Amphiphilic Nano-Peptides



- Nano-peptide with IKVAV (neurite growth)
- Nanofiber matrix with progenitor cells (neurons)
- Growth of reactive astrocytes suppressed (glial scar)

Samuel Stupp, NW



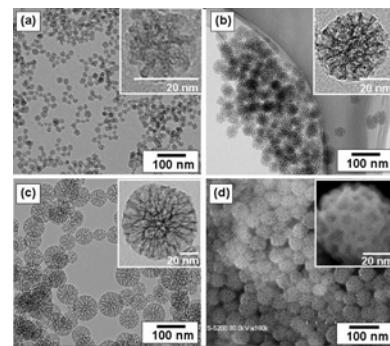
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Nanoparticles

Material properties change as their size approaches the nanoscale, as the percentage of atoms at the surface is commensurate with the number of atoms in the material

- Particles with size < 100nm (mostly inorganic)
- Bridge bulk materials and atomic/molecular structures
- Behave as a whole unit with respect to transport and properties
- Large surface area to volume ratio



TEM images of mesoporous silica nanoparticles with mean outer diameter: a) 20nm, b) 45nm, and c) 80nm. d) is a SEM image of b). The insets are a high magnification of mesoporous silica particle. Source: Wikipedia

RELEVANCE

- Variety of applications (optical, electronic)
- Quantum confinement in semicond. particles
- Surface plasmon resonance in metal particles
- Superparamagnetism in magnetic materials

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Nanoparticles: Quantum dots (QD)

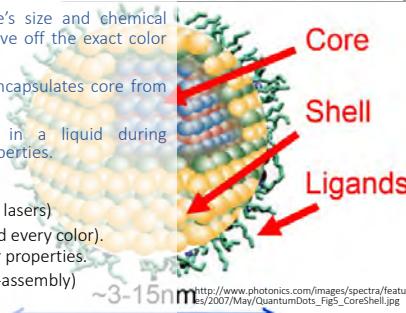
Semiconductor nanostructure that confines motion of conduction band electrons, valence band holes, or excitons (bound pairs of conduction band electrons and valence band holes) in all 3 spatial directions



- Core material emits pure, bright colors. Core's size and chemical makeup tuned on an atom-by-atom basis to give off the exact color light needed.
- Shell coating (different material) protects or encapsulates core from moisture and oxygen.
- Ligands, ensure that QD can be printed in a liquid during manufacturing, and play a role in electronic properties.

RELEVANCE

- Can self-assemble into periodic arrays (heterostructure lasers)
- QD can emit any wavelength of light, from UV to IR (and every color).
- Shell in QD enables fine tuning emitted color and other properties.
- Ligands can be used to do selective chemistry (e.g. self-assembly)

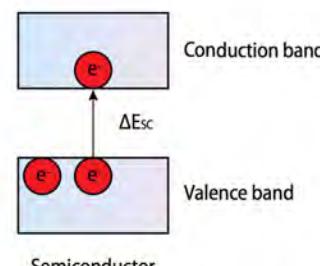
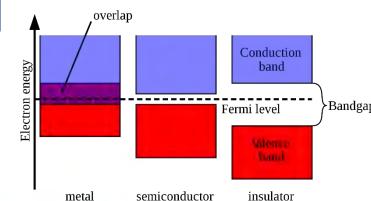
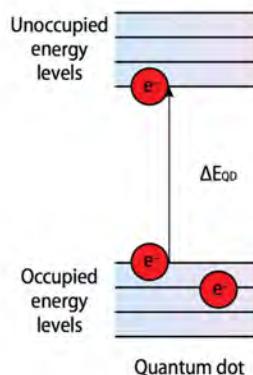


The smaller the quantum dot, the larger the energy gap between the energy levels and hence the higher the frequency of light emitted

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Electronic band structures



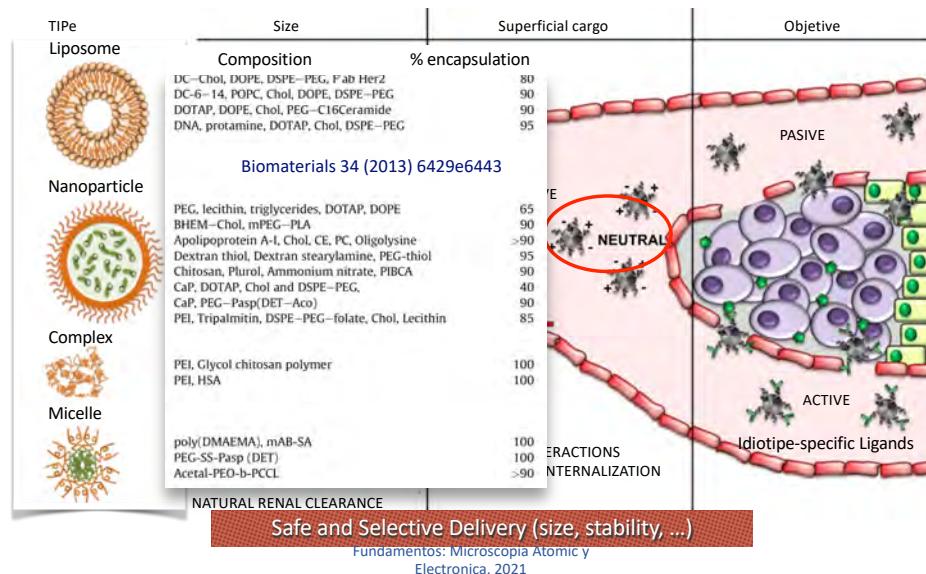
The smaller the quantum dot, the larger the energy gap between the energy levels and hence the higher the frequency of light emitted

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Nanoparticles: for DDSs



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Simulations predicted **Swell** (12-180%) when pH decreases

Drug encapsulation/release using dendrimers

- 180% Difference in R_o Muthukumar et al., Macromolecules 31 5892 1998
- 100% Difference in R_o Baker Jr. et al., Macromolecules 35 4510 2002
- 12% Difference in R_o Goddard III et al., J. Phys. Chem. B 110 25628 2006
- 50% Difference in R_o Wagner et al., J. Poly. Sci. B: Poly. Phys. 44 3062 2006

Dendrimer: ultrasoft colloid or structured polymer

Questions:

- Drug encapsulation (interior or exterior binding sites?)
- Drug release (pH effect? Protein?)

Systems:

- Dendrimer-drug (PAMAM-MPA)
- Dendrimer-drug-protein(PAMAM-MPA-HSA)
- Dendrimer-protein (PAMAM-HSA)
- Dendrimer (PAMAM)

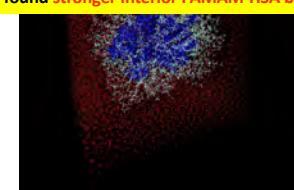
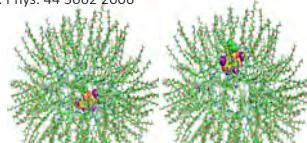
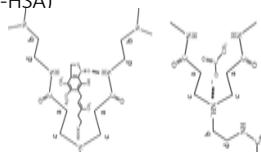
Approaches:

- Experiment (NMR)
- Theory (MM/MD)

Mycophenolic acid (MPA): immunosuppressant to prevent rejection in organ transplantation human serum albumin (HSA)
Poly(amidomane) (PAMAM) dendrimers

Interior PAMAM-MPA bindings through hydrophobic/H-bonding

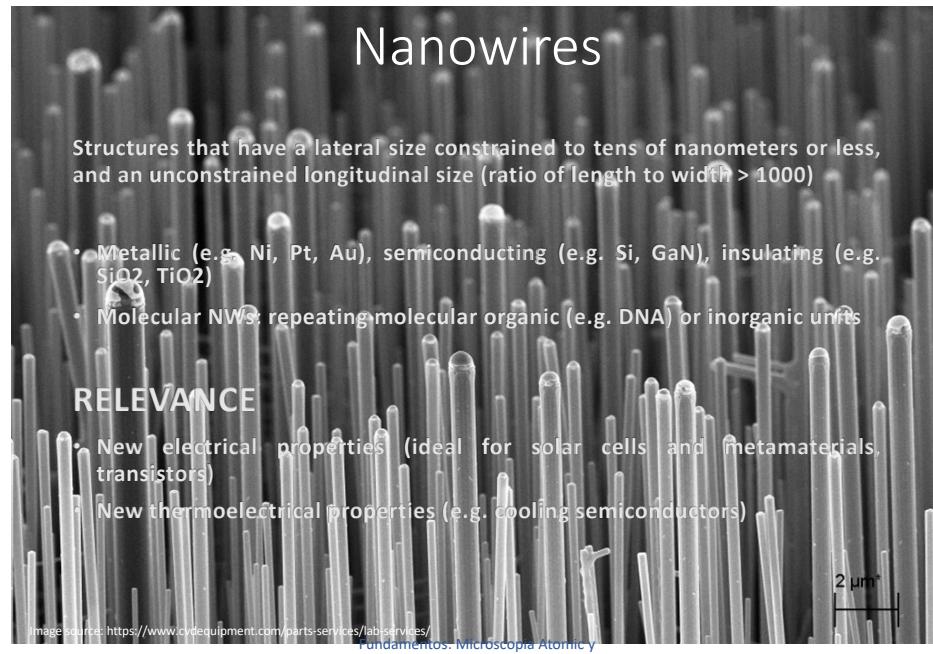
NMR-MD studies found stronger interior PAMAM-HSA bindings



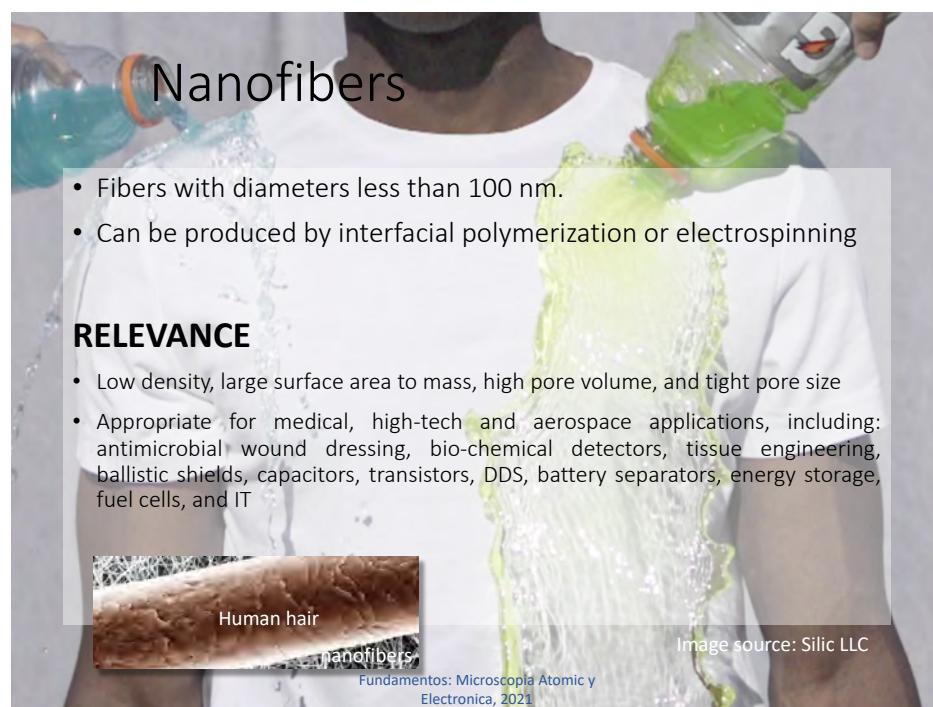
Yi Liu, Vyacheslav S. Bryantsev, Mamadou S. Diallo and William A. Goddard III J. Am. Chem. Soc., 2009, 131 (8), pp 2798-2799

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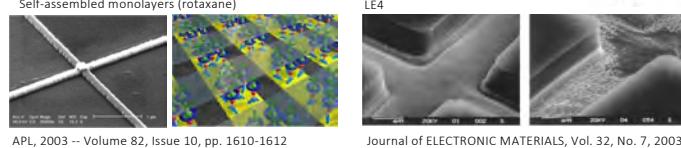
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Nanotools

1. Top-Down and Bottom-up Synthesis techniques for nanostructures and nanostructured materials



2. Characterization and Testing: AFM, Electron scattering, force based techniques, NEMS/MEMS and in-situ testing

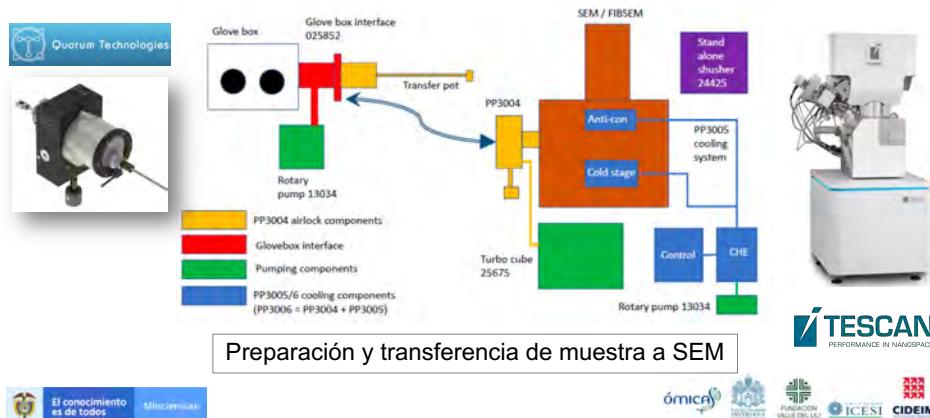


3. Modeling and simulation

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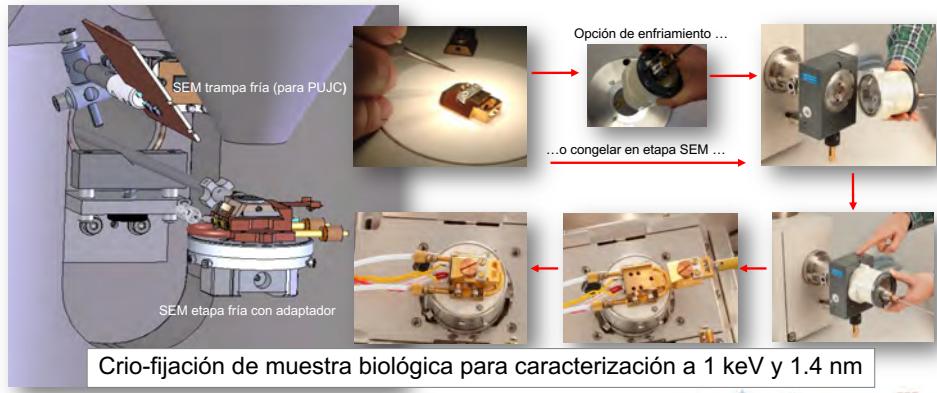
Cryo-SEM Sensum-SARS-CoV-2



42

Fundamentos: Microscopia Atomic y Electronica, 2021

Cryo-SEM Sensum-SARS-CoV-2

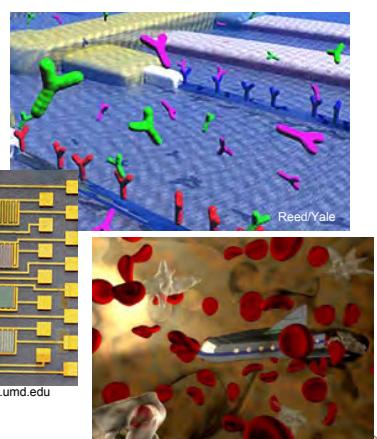
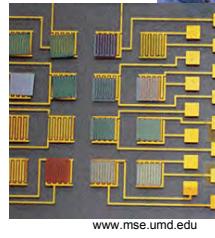


43

Nanodevices

New physics in the numerical, theoretical and experimental techniques to process and study nanomaterials

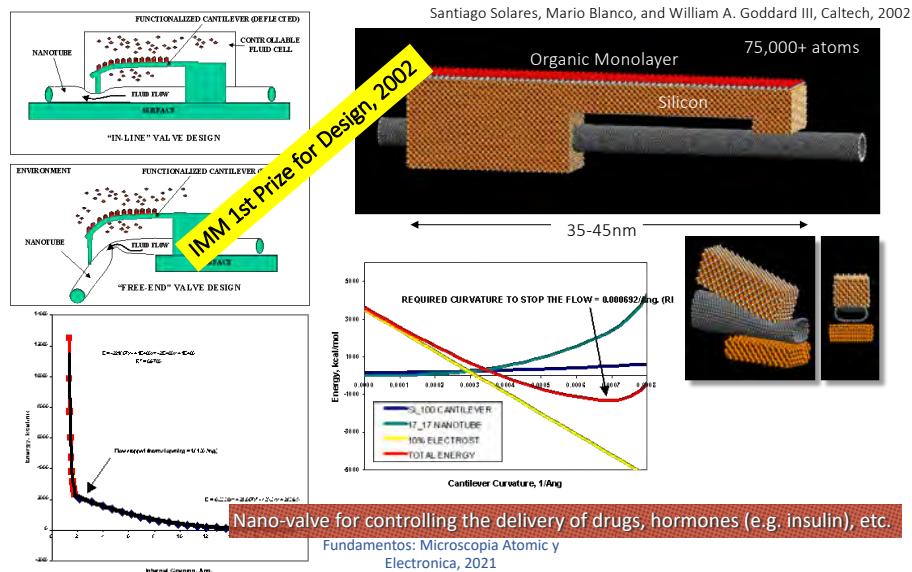
- Electronic
- NEMS/MEMS
- Biodevices (lab-on-a-chip, biosensors)
- DDS
- Therapeutics
- Data storage
- Catalysis
- Nanoscaled machines



Fundamentos: Microscopia Atomic y Electronica, 2021

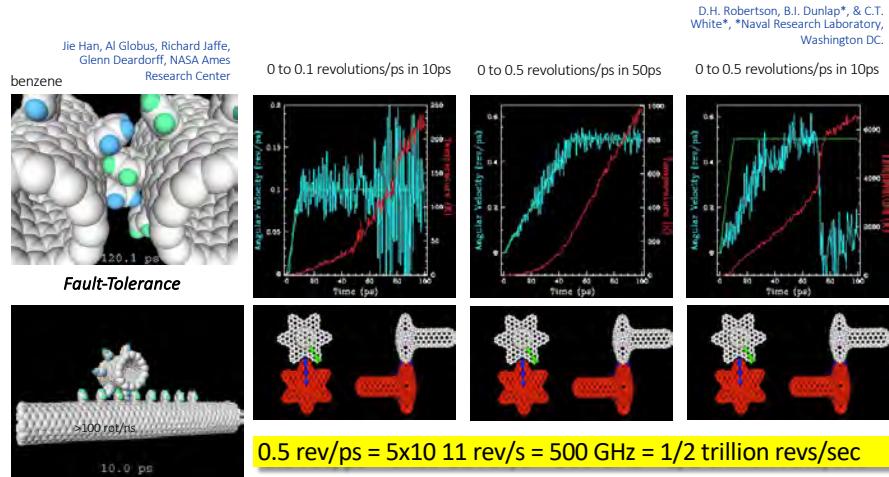
44

Nanodevices: Nano mechanical flow valve



45

Nanodevices: Fullerene and benzene gears (NRL,NASA-Ames)

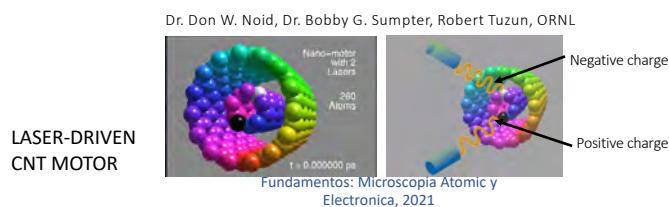
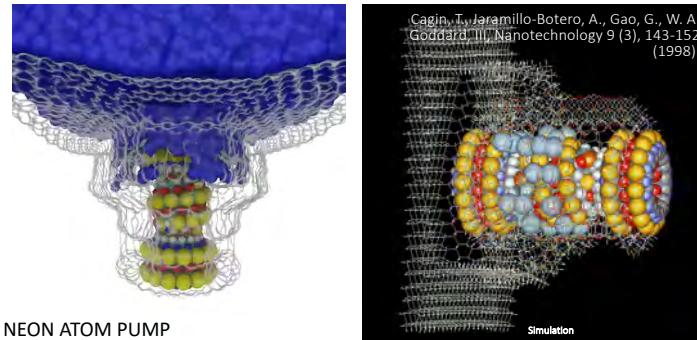


46

Nanodevices: “classical” actuators

Control schemes

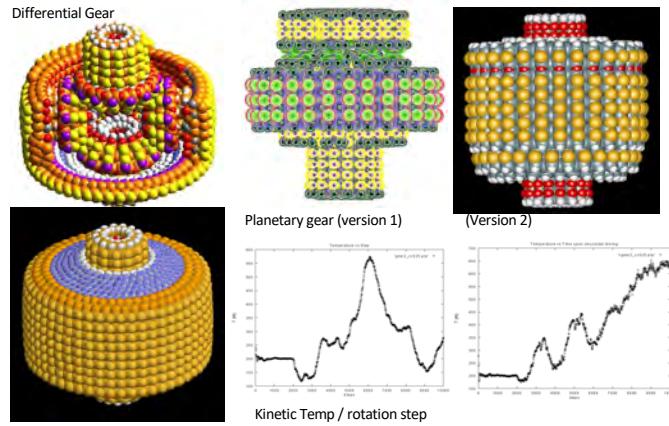
- Electroionic
- Biological - glucose-oxygen.
- Chemical, radiation, or acoustic



47

Nanodevices: differential and planetary gears

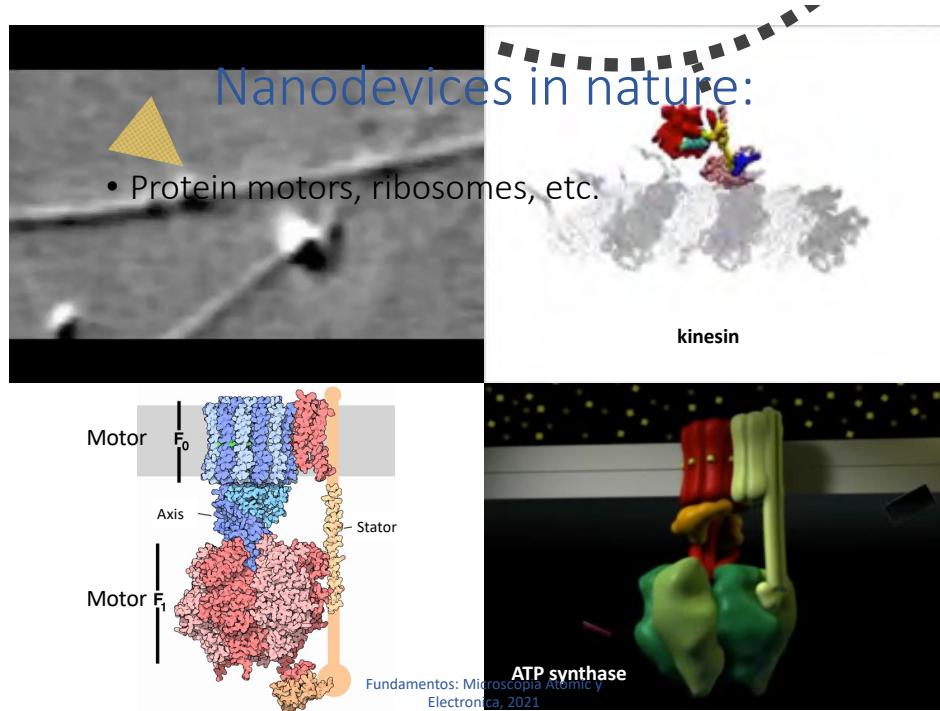
Cagin, T., Jaramillo-Botero, A., Gao, G., W. A. Goddard, III, Nanotechnology 9 (3), 143-152 (1998).



Initial versions not functional due to gear interfaces: high vdW repulsions or gear “slippage”

Fundamentos: Microscopia Atomica y Electronica, 2021

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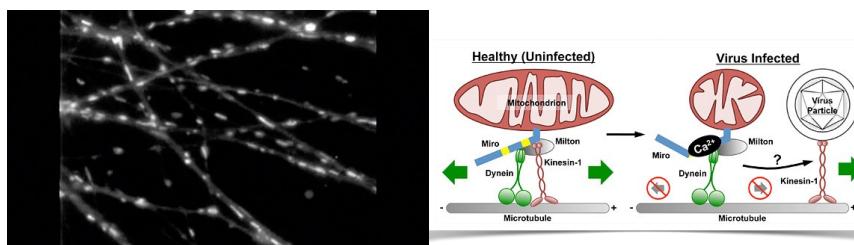


49

Virus kidnaps cell transport system (Kinesin)

- Herpes kidnaps cells mitochondrial system, which regulates the energy supply, communication with other cells, and auto-destruction response to infection

Kramer et al., Cell Host and Microbe, 2012



Understanding how a viral infection damages neurons could provide new insight into how other illnesses do the same (e.g. Alzheimer)

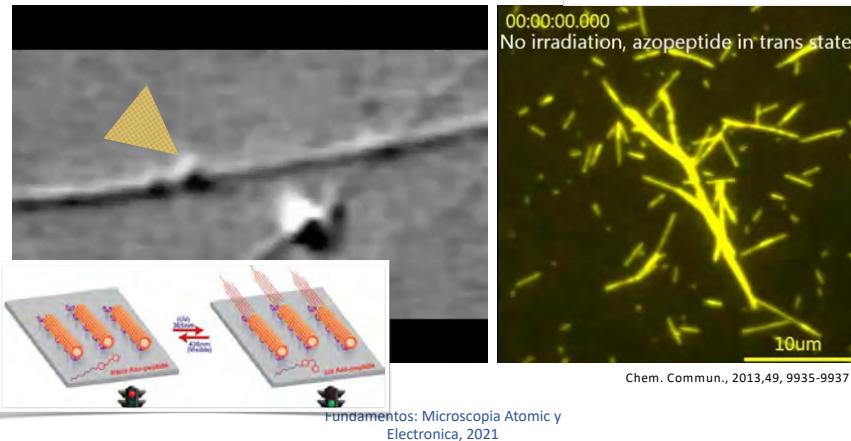
Cell Host & Microbe, Volume 11, Issue 5, 17 May 2012, Pages 420-421

Fundamentos: Microscopia Atómica y Electrónica, 2021

50

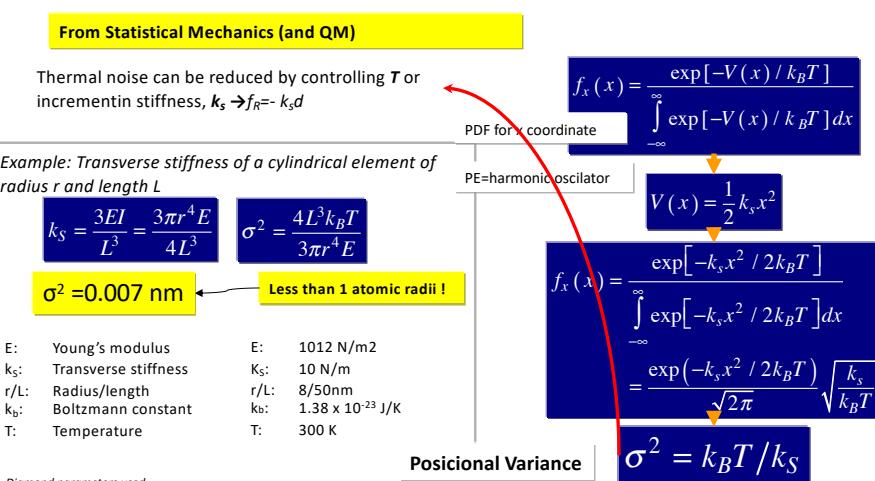
Nanodevices: Controlling intra-cellular cargo transport

We could functionalize the cellular machinery to improve fault-tolerance



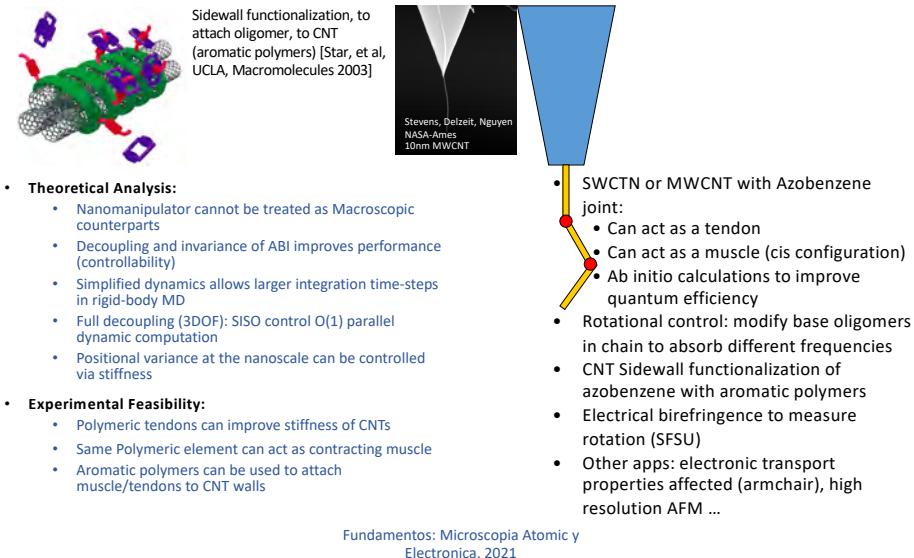
51

Nanodevices: 3D positioner - Thermal Noise and Variance



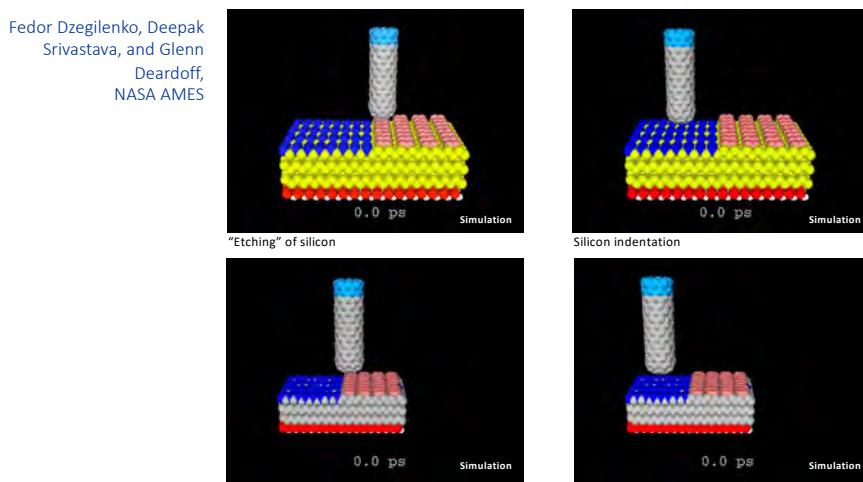
52

Nanodevices: 3D positioner



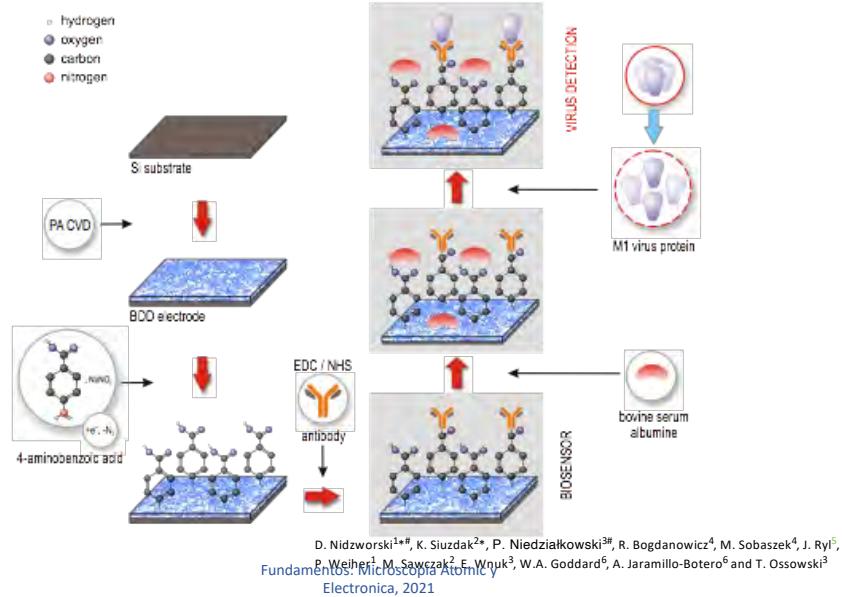
53

Nanodevices: nanoindentation/etching



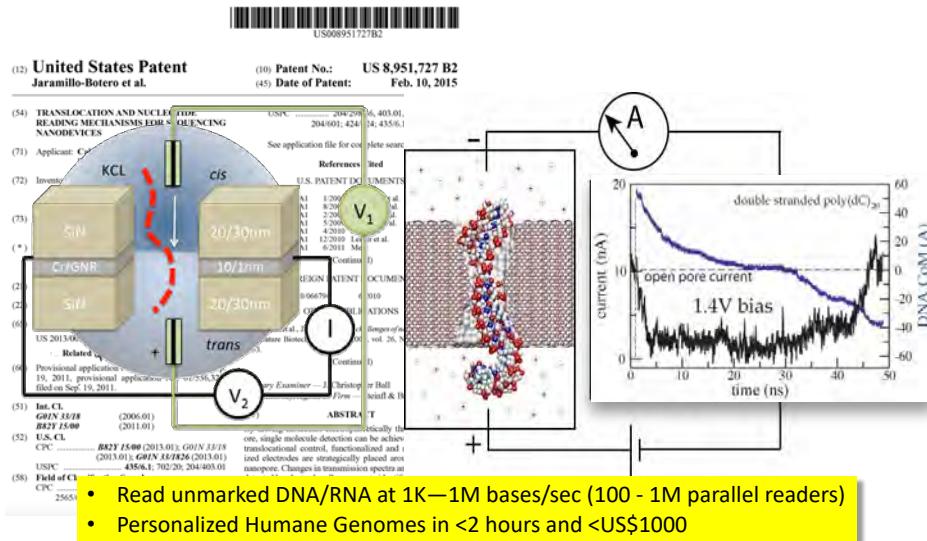
54

Nanodevices: molecular sensors



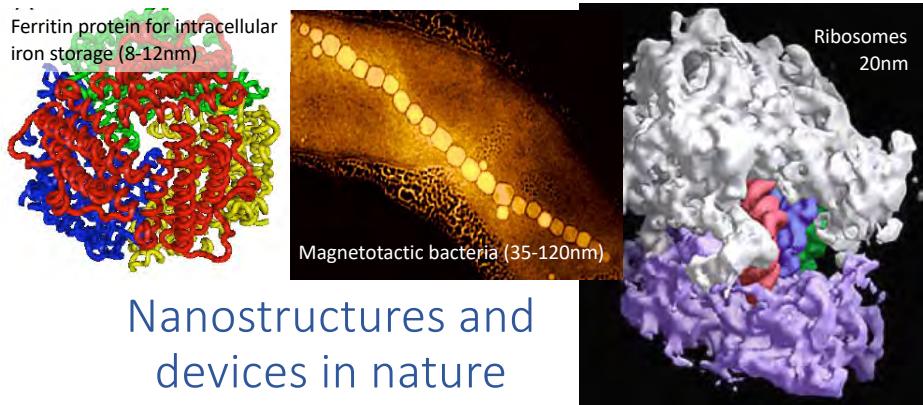
55

Nanodevices: 4^G DNA sequencers



Fundamentos: Microscopia Atomic y Electronica, 2021

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Microscopía atómica y electrónica: aplicaciones en nano mecánica

Jhonattan de la Roche, Investigador postdoctoral proyecto 2: Nanosensores

Capacitación AFM



1

CONTENIDO

Módulo 1

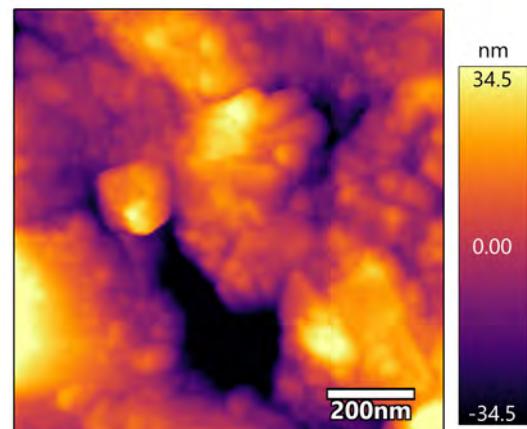
- AFM: Principios básicos y Modo contacto
- Modo no contacto: Principios básicos
- Componentes del equipo
- Cuidados del equipo

Módulo 2

- SEM: Principios básicos
- Componentes del equipo
- Cuidados del equipo

Módulo 3

- Demostración AFM – Modo contacto y medida de fuerza
- Demostración SEM – Muestras de oro y grafeno



Capacitación AFM



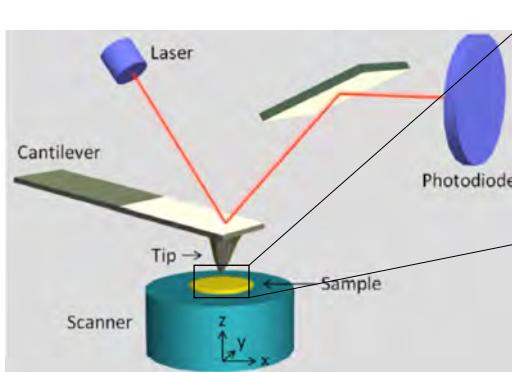
2

AFM: PRINCIPIOS BÁSICOS Y MODO CONTACTO

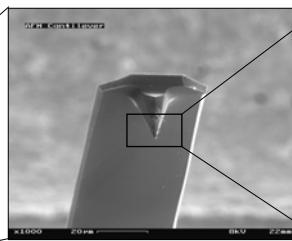
La técnica AFM nació a partir del desarrollo de la microscopía de efecto túnel (STM)¹

Gerd Binnig y Heinrich Rohrer premio Nobel en 1986¹

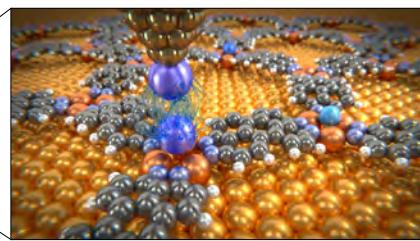
1986: Nacimiento del AFM por Binnig, Quate, y Gerber^{1,2}



Fuente: G. Zeng, Gong, et al. *Atomic Force Microscopy Investigations into Biology-From Cell to Protein* (2012)



Fuente: <https://thenewzero.wordpress.com/tag/microscopia-de-fuerza-atómica/>



Fuente: <https://analyticalscience.wiley.com/doi/10.1002/imaging.5540/full/>

Van der Waals, fuerzas capilares, interacciones químicas, fuerzas electrostáticas, fuerzas magnéticas, fuerzas de solvatación, etc.

1. Ron Blonder et. al, *Journal of Chemical Education* 87 (2010), 1290

2. G. Binnig et. al, *Phys. Rev. Letters* 56, 1(1986), p. 930.

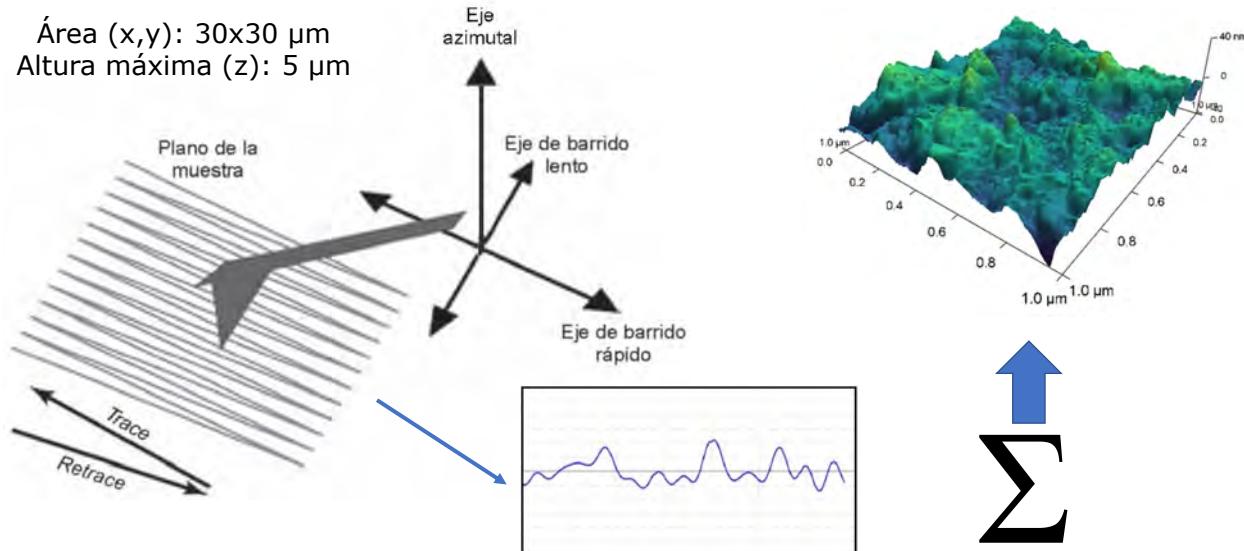
Módulo 1- Capacitación AFM



3

¿COMO MIDE EL AFM?

Área (x,y): 30x30 μm
Altura máxima (z): 5 μm



Módulo 1- Capacitación AFM

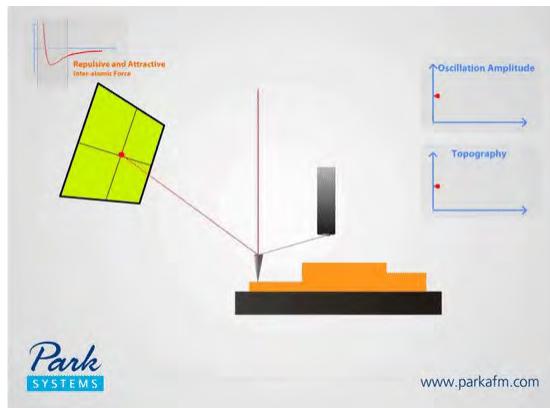
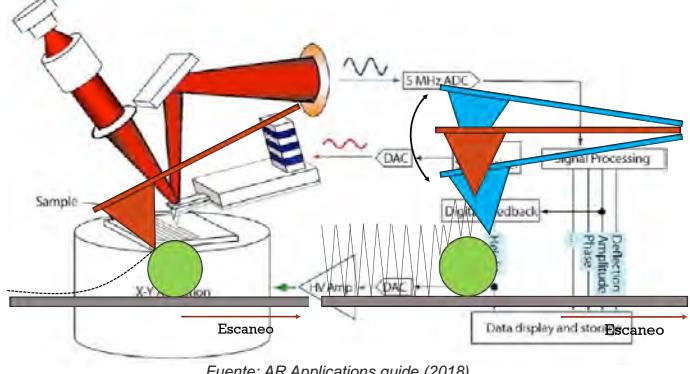


4

2

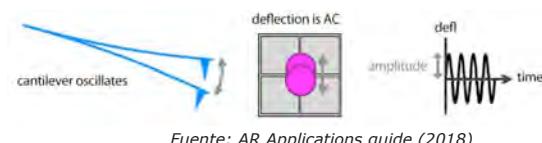
MODO NO CONTACTO: PRINCIPIOS BÁSICOS

Esquema Modo contacto vs no contacto



Ventajas del modo Tapping:

- Protección de la punta (mantiene afilada)
- Ausencia de fuerzas aplicadas sobre la muestra
- No hay fuerza lateral
- Alta resolución lateral 1-5 μm

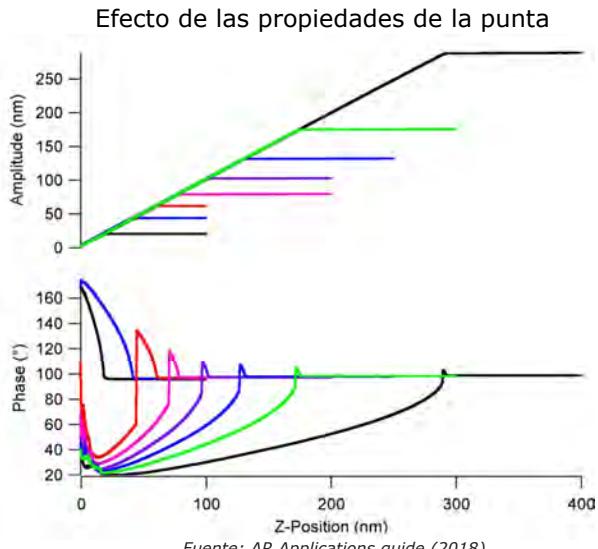
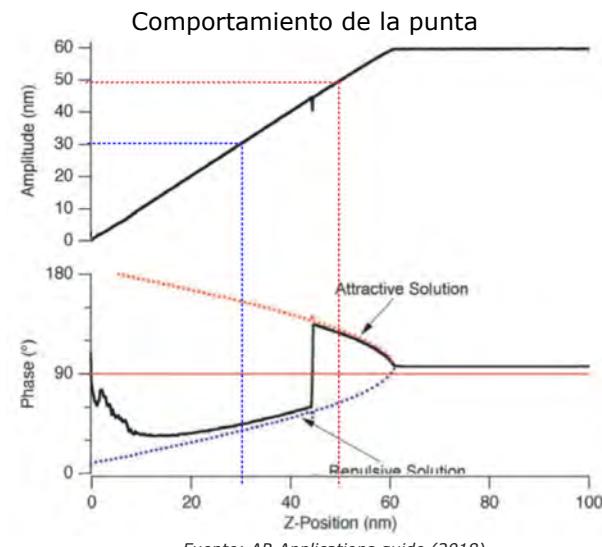


Módulo 2- Capacitación AFM



5

MODO REPULSIVO Y ATRACTIVO



Módulo 2- Capacitación AFM



6

¿ATRACTIVO O REPULSIVO?

Modo repulsivo

Muestras duras
Mejor resolución

Modo atractivo

Muestras blandas
Se cuida la punta

Para conseguir el modo A o R se necesita:

Modo repulsivo	Modo atractivo
“free amplitude” altas	“free amplitude” baja
Puntas rígidas (~40 N/m)	Puntas flexibles (~1-10 N/m)
Drive frequency más baja que la resonancia	Drive frequency más alta que la resonancia
Bajo Q	Alto Q
Punta aguda	Punta redondeada

Módulo 2- Capacitación AFM



7

MODOS DE OPERACIÓN

Morfología

- Tapping mode (AC mode)
- Contact mode

Propiedades mecánicas

- Force Curves / Force Volume
- Fast Force Mapping (FFM)
- Lateral Force Mode (LFM)

Propiedades eléctricas

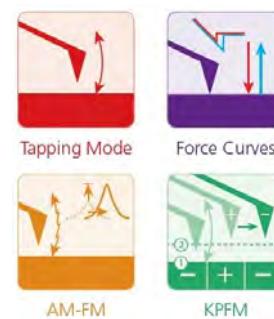
- Electrostatic Force Microscopy (EFM)
- Kelvin Probe Force Microscopy (KPFM)

Para destacar- Chypher ES

- Modo medición en líquidos
- Control de temperatura (0-120°C)
- Celda electroquímica

Otras propiedades o modos

- Piezoresponse Force Microscopy (PFM)
- Magnetic Force Microscopy (MFM)
- Nanolithography
- Nanomanipulation
- Piezoresponse Force Microscopy (PFM)
- Switching spectroscopy PFM

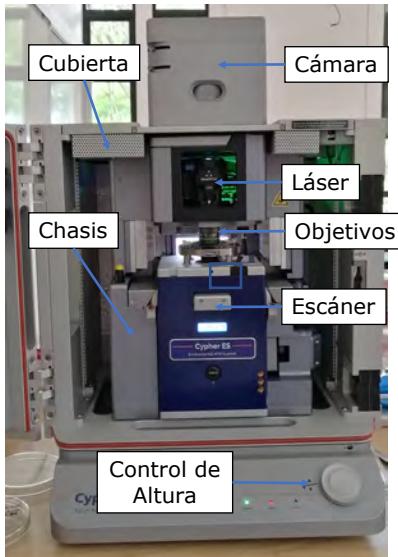


Módulo 1- Capacitación AFM

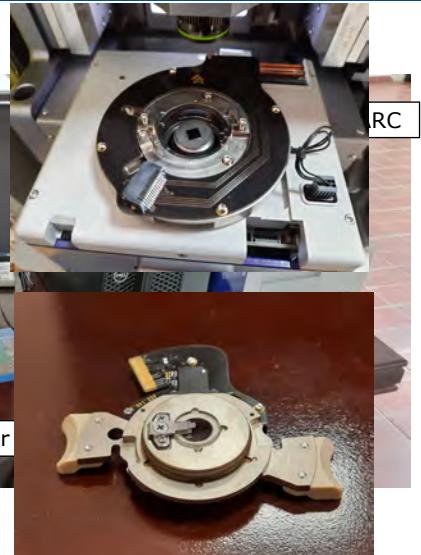


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PARTES DEL EQUIPO

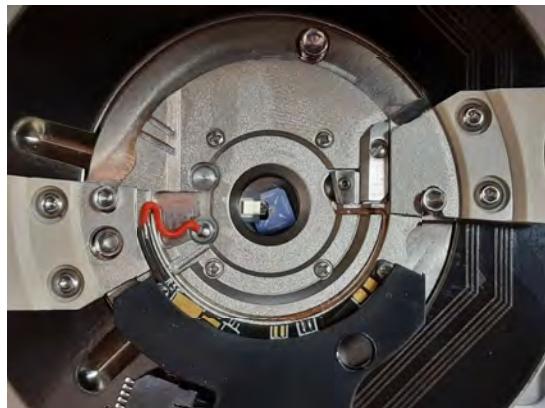


Introducir la muestra

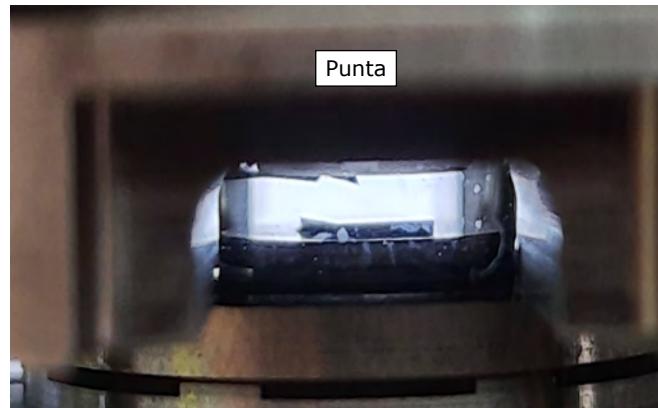


Módulo 1- Capacitación AFM

POSICIONAMIENTO DE LA MUESTRA



Vista superior



Vista lateral

Módulo 1- Capacitación AFM

CUIDADOS DEL EQUIPO

Ambientales

- Temperatura de la habitación: 10-35°C
- Humedad relativa: ≤50%
- Ruido acústico: <50 dB

Hardware

- El equipo debe mantener encendido
- Conexión a una UPS de 500W
- Evitar aspersión de solventes o desinfectantes.
- Evitar poner elementos sobre ARC y el AFM
- Manipulación de puntas

Riesgos del usuario



Fuente: Chypher user guide (2018)

Módulo 1- Capacitación AFM



11



Aliados



Pontificia Universidad
JAVERIANA
Cali
IES Ancla



Apoyan



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